

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

#12/ZTR  
to  
Brian Whiteman  
PATENT

Atty. Docket No.: 019957-014500US

Assistant Commissioner for Patents,  
Washington, D.C. 20231, on November 4, 2002

TOWNSEND and TOWNSEND and CREW LLP

By Jo Ann Honcik Dallara  
Jo Ann Honcik Dallara

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Adrienne W. Paton, et al.

Examiner: Brian A. Whiteman

Appln. No.: 09/658,537

Art Unit: 1635

Filed: September 9, 2000

LETTER TO OFFICIAL DRAFTSPERSON

For: RECOMBINANT MICROORGANISMS  
EXPRESSING AN  
OLIGOSACCHARIDE RECEPTOR  
MIMIC

RECEIVED

NOV 08 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

Applicants submit five sheets of formal drawings to be made of record in the  
above-identified case.

Respectfully submitted,

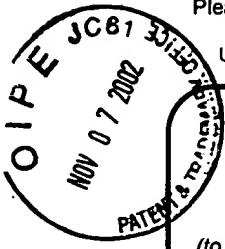
Beth L. Kelly

Beth L. Kelly  
Reg. No. 51,868

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-0200  
Fax (415) 576-0300  
BLK/jhd  
SF 1402893 v1

Please type a plus sign (+) inside this box → 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/658,537

Filing Date

September 9, 2000

First Named Inventor

Paton, Adrienne W.

Group Art Unit

1635

Examiner Name

Whiteman, Brian A.

Total Number of Pages in This Submission

Attorney Docket Number

019957-014500US

RECEIVED

NOV 6 8 2002

TECH CENTER 1600/2900

## ENCLOSURES (check all that apply)

 Fee Transmittal Form Assignment Papers  
(for an Application) After Allowance Communication to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Reply Licensing-related Papers Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s)  
(please identify below): Express Abandonment Request Terminal Disclaimer

Powers of Atty. and 3.73(b) Statements by Assignees, Adelaide Research &amp; Innovation Pty. Ltd. and Women's and Children's Hospital (both w/ attached, recorded Assignment); Certified copy of Australian appln. PQ 2757; Return Postcard

 Information Disclosure Statement Request for Refund CD, Number of CD(s)

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Beth L. Kelly

Reg. No. 51,868

Signature

Date

November 4, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

November 4, 2002

Typed or printed name  Jo Ann Honcik Dallara

Signature

Date

November 4, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1402877 v1

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** | (\$) **374**

#### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  MoneyOrder  Other  None  
 Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

#### FEE CALCULATION

##### 1. BASIC FILING FEE

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

##### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	74	Extra Claims		Fee Paid
		0	X\$18	
Independent Claims	5	-4**	= 1	X\$84
Multiple Dependent			X	

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)84

\*or number previously paid, if greater; For Reissues, see above

Complete if Known

Application Number	09/658,537	RECEIVED
Filing Date	September 9, 2000	NOV 08 2002
First Named Inventor	Paton, Adrienne W.	TECH CENTER 1600/2900
Examiner Name	Whiteman, Brian A.	
Group Art Unit	1635	
Attorney Docket No.	019957-014500US	

#### FEE CALCULATION (continued)

##### 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1051	130		2051	65	Surcharge - late filing fee or oath	
	1052	50		2052	25	Surcharge - late provisional filing fee or cover sheet	
	1053	130		1053	130	Non-English specification	
	1812	2,520		1812	2,520	For filing a request for reexamination	
	1804	920*		1804	920*	Requesting publication of SIR prior to Examiner action	
	1805	1,840*		1805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110		2251	55	Extension for reply within first month	110
	1252	400		2252	200	Extension for reply within second month	
	1253	920		2253	460	Extension for reply within third month	
	1254	1,440		2254	720	Extension for reply within fourth month	
	1255	1,960		2255	980	Extension for reply within fifth month	
	1401	320		2401	160	Notice of Appeal	
	1402	320		2402	160	Filing a brief in support of an appeal	
	1403	280		2403	140	Request for oral hearing	
	1451	1,510		1451	1,510	Petition to institute a public use proceeding	
	1452	110		2452	55	Petition to revive - unavoidable	
	1453	1,280		2453	640	Petition to revive - unintentional	
	1501	1,280		2501	640	Utility issue fee (or reissue)	
	1502	460		2502	230	Design issue fee	
	1503	620		2503	310	Plant issue fee	
	1460	130		1460	130	Petitions to the Commissioner	
	1807	50		1807	50	Petitions related to provisional applications	
	1806	180		1806	180	Submission of Information Disclosure Stmt	180
	8021	40		8021	40	Recording each patent assignment per property (times number of properties)	
	1809	740		2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
	1810	740		2810	370	For each additional invention to be examined (37 CFR § 1.129(b))	
	1801	740		2801	370	Request for Continued Examination (RCE)	
	1802	900		1802	900	Request for expedited examination of a design application	
	Other fee (specify) _____						

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)290

#### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Beth L. Kelly	Registration No. (Attorney/Agent)	51,868	Telephone	415-576-0200
Signature	<i>Beth L. Kelly</i>			Date	November 4, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1402854 v1